

Individual Affidavits to be given by ALL the Legal Heirs OR Legal Heirs named in Succession Certificate*/ Probate of Will*/ Will*/ Letter of Administration*/ Legal Heirship Certificate*(or its equivalent certificate)*/Court Decree*

(For Transmission of securities on death of Sole Holder where NO NOMINATION has been registered)

Each Deponent (legal heir) shall sign separate Affidavits.

(To be executed on a Non-Judicial Stamp Paper of appropriate value and to be Notarized)

Presently for the State of Maharashtra Rs.100/-

I, _____ Son /daughter of _____ residing at _____ do hereby solemnly affirm and state on oath as follows.

That Mr. /Mrs _____ @ ("the deceased holder") held the following securities in his / her name as single holder:

Company Name	Folio No.	No. of securities held

That the aforesaid deceased holder died *intestate* leaving behind him/her, the following persons as the only surviving heirs as per the Succession Certificate/ Legal Heirship Certificate(or its equivalent certificate)/Court Decree dated _____ / according to the Law of Intestate Succession by which he/she was governed at the time of his/her death and without registering any nominee. *

OR

That the aforesaid deceased holder died leaving behind the following persons as the legatees as per the Will/ Probated Will/ Letter of Administration dated _____ and without registering any nominee. *

A copy of the **Succession Certificate*/ Probate of Will*/ Will*/ Letter of Administration*/ Legal Heirship Certificate*(or its equivalent certificate)*/ Court Decree*** is attached herewith.

Name of the Legal Heir(s)	Address and contact details	Age	Relation with the Deceased
1)			
2)			
3)			

That among the aforesaid legal heirs, Master/Kum. _____
aged _____ years is a minor and is being represented by Mr/Ms. _____
_____ \$ being his / her father / mother / legal guardian.

Signature of the Deponent: X _____

VERIFICATION

I hereby solemnly affirm and state that what is stated herein above is true and correct and nothing has been concealed therein and that we I am competent to contract and entitled to rights and benefits of the abovementioned securities of the deceased.

Solemnly affirmed at : _____

X _____

Signature of the Deponent

Signed before me

Place: _____

Date : _____

X -----
Signature of Notary with Official Seal of Notary&Regn. No.

**strikeout whichever is not applicable*

= Name of the legal heir @ = Name of the deceased security holder

\$ = Name of the Guardian

Note: To be executed in the presence of a Public Notary/Gazetted Officer

Bond of Indemnity to be furnished jointly by all Legal Heir(s) including the Claimant(s)
(To be executed on a Non-Judicial Stamp Paper of appropriate value and to be Notarized)

Presently for the State of Maharashtra Rs.500/-

**[For Transmission of Securities on death of Sole Securities' Holder, where
No Nomination has been registered]**

I/We do hereby solemnly affirm and state on oath as follows:

That Mr. /Ms. _____ Name of the deceased holder _____ was holding the following securities:

Company Name	Folio No/s	Securities held	Security Certificate No.	Distinctive Nos.	
				From	To

That the aforesaid deceased holder died *intestate* on _____, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of intestate succession applicable to him/her by which he/she was governed at the time of his/her death.

Name of the Legal Heir(s)/Claimant(s)	Address and contact details	Age	Relationship with the Deceased
1			
2			
3			
4			

OR

That the aforesaid deceased holder died on _____, without registering any nominee, leaving behind him/her the following persons as the only surviving legal heirs, according to the laws of testamentary succession.

Name of the Legal Heir(s)/Claimant(s)	Address and contact details	Age	Relationship with the Deceased
1			
2			
3			

Therefore, I/We, the Legal Heir(s)/Claimant(s) and deponent(s) herein has/have, approached _____ (Name of the Company/RTA) with a request to transmit the aforesaid securities in the name of the undersigned Mr./Ms

[Name(s) of the legal heir(s)/claimant(s)] #, on my/our behalf, without insisting on production of a Succession Certificate/ Probate of Will / Letter of Administration or any Court order, for which we execute an indemnity as is herein contained and on relying on the information herein given by us, believing the same to be true.

In consideration therefore of my/our request to transfer/transmit the above said securities to the name of the undersigned Mr. /Ms. [_____ Name(s) of the legal heir(s)/claimant(s)] #,

I/We hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defend, harmless, [Name of the Company/ Issuer and any RTA] and its successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which they may suffer and/or incur by reason of transferring the said securities as herein above mentioned, at my/our request to the undersigned Mr./Ms.

_____ Name(s) of the legal heir(s)/claimant(s)] #, without insisting on production of a Succession Certificate / Probate of Will / Letter of Administration or any Court order.

IN WITNESS WHEREOF the said 1) Mr. /Ms. _____ (Name and signature of the witness)

And 2) Mr. /Ms. _____ #, have (Name and signature of the witness

hereunto set their respective hands and seals this day of _____ Signed and delivered by the said legal heir/s.

Name the Legal Heirs	Signature of the Legal Heirs
1	X
2	X
3	X

(*) = Name of the deceased security holder (#) = Name of the claimant/s

Signed before me

at: _____

on: _____

X -----
Signature of Notary with Official Seal of Notary&Regn. No

Note: To be executed in the presence of a Public Notary/Gazetted Officer

(To be executed on a Non-Judicial Stamp Paper of appropriate value and to be Notarized)

Presently for the State of Maharashtra Rs.100/-

No-Objection Certificate from the Legal Heir(s)

**Format of NOC from other Legal Heir(s) for Transmission of Securities
in favour of the Claimant(s) wherein the Sole Holder is deceased and
NO NOMINATION has been registered**

DECLARATION

I/We, the legal heir(s) of late Mr. / Ms _____
(name of the deceased holder) declare as follows –

That the above named deceased holder was holding the following securities in his /
her name as single holder:

Company Name	Folio No.	No. of securities held

- (i) That the deceased had died intestate on DD / MM / YYY .and without registering any nominee.
- (ii) That the following Claimant(s) has/have applied for the transmission of the aforesaid securities:

Name of the Claimant(s)	Address and contact details	Age	Relationship with the deceased
1)			
2)			
3)			

(iii) That I / We are the legal heir(s) of the deceased holder, apart from the Claimant(s) who has/ have applied for transmission of the aforesaid securities and our details are as follows:

Name of the Legal Heir(s)	Address and contact details	Age	Relationship with the deceased
1)			
2)			
3)			

(iv) I / we hereby declare that, I / we do not desire to make any claim in respect of the title to the aforesaid securities held by the deceased and I / we hereby willfully relinquish & renounce all my /our rights in respect of the aforesaid securities and shall have no legal claim upon said securities in future.

(v) Accordingly, I / we declare that I / we have NO OBJECTION WHATSOEVER in _____ (Name of the Company) transmitting the aforesaid securities in favour of the Claimant(s) Mr./Ms. _____.

(vi) I / we hereby state that whatever is stated herein above are true to the best of my/our knowledge and nothing has been concealed therein.

Name(s) and Signature(s) of Legal Heir(s) who are Non-Claimant(s)

Name of the Non-Claimant-1: _____ Sign-1X _____

Name of the Non-Claimant-2: _____ Sign-2X _____

Name of the Non-Claimant-3: _____ Sign-3X _____

VERIFICATION

We hereby solemnly affirm and state that what is stated herein above is true to our knowledge and nothing has been concealed therein and that we are competent to contract and entitled to rights and benefits of the above mentioned securities.

Solemnly affirmed at _____

Deponent(s)(1) _____ (2) _____ (3) _____